

649044

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/694,044	
	Filing Date	August 27, 2003	
	First Named Inventor	Van Der Vliet, et al.	
	Group Art Unit	2874	
	Examiner Name	Palmer, Phan T H	
Total Number of Pages in This Submission		Attorney Docket Number	LIGHT2620

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Authorized	Drawing(s)	Appeal Communication to Board of Appeals and Interferences
Amendment	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final	Petition to Convert to a Provisional Application	Proprietary Information
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter
Extension of Time Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):
Express Abandonment Request	Request for Refund	<input checked="" type="checkbox"/> Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	CD, Number of CD(s) _____	Cited References
Certified Copy of Priority Document(s)	Remarks	
Response to Missing Parts/ Incomplete Application		
Response to Missing Parts under 37 CFR 1.52 or 1.53		

Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2326. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Dated:

6-14-05

By:

Attorneys for Applicant(s)

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#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231 on this date: 6-14-05

Typed or printed name

TRAVIS DODD

Signature

Date

6-14-05



Attorney Docket No.	LIGHT2620
First Named Inventor:	Frederik Marcel Van Der Vliet
Application Number	10/649,044
Filing Date:	August 27, 2003
Examiner Name:	Palmer, Phan T.
Group/Art Unit:	2874
Title	OPTICAL COMPONENT HAVING REDUCED INTERFERENCE FROM RADIATION MODES

**BOX FEE AMENDMENT**

Assistant Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Applicant hereby cites the documents listed in the accompanying Form PTO-1449 with respect to the above reference patent application under the provision of 37 CFR 1.97(b). Copies of the documents are attached.

Because the above Patent Application was filed after June 2003, copies of the U.S. Patent Applications and the U.S. Patent Application Publications were not provided. However, the Applicant will be happy to provide these copies at the request of the Examiner.

The Examiner is respectfully requested to make the listed documents of record in connection with the prosecution of the subject application.

Respectfully submitted,

TRAVIS DODD  
Registration No. 42,491

Date: 6-14-05

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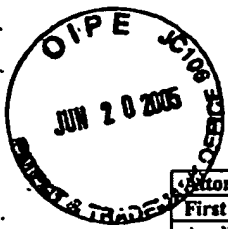
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## FEE TRANSMITTAL

Attorney Docket No.	LIGHT2620
First Named Inventor:	VAN DER VLIET, et al.
Application Number	10/649044
Filing Date:	August 27, 2003
Examiner Name:	Palmer, Phan T.
Group/Art Unit:	2874

<b>TOTAL AMOUNT OF PAYMENT:</b>	<b>\$ 180.00</b>
<b>METHOD OF PAYMENT (check One)</b>	1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and/or credit any over payment to:  Deposit Account No.: 502326 Deposit Account Name: Lightcross, Inc.  <input checked="" type="checkbox"/> Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17  2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other

## 2. UTILITY Basic Filing Fee &amp; Claims

(1) For	(2) No. filed	(3) No. extra	(4) Large Entity	(5) Small Entity	(6) Calculations
Basic Filing Fee	XX	XX	\$ 750.00	\$375.00	\$ 0.00
Total Claims	XXX - 3 =	0	X \$ 18.00	X \$ 9.00	\$ 0.00
Independent Claims	XXX - 34 =	0	X \$ 84.00	X \$ 42.00	\$ 0.00
Multiple Dependent Claim(s) (if applicable)			\$ 280.00	\$140.00	\$ 000.00
Total of above Calculations =					\$ 000.00

Basic Filing Fee	Large Entity	Small Entity	Total
Design filing fee	\$ 330.00	\$ 165.00	\$ 000.00
Reissue filing fee	\$ 740.00	\$ 370.00	\$ 0.00
Provisional filing fee	\$ 160.00	\$ 80.00	\$ 00.00
Total of above Calculations =			\$ 00.00

## 3. ADDITIONAL FEES

Fee Description	Large Entity	Small Entity	Other
Filing IDS after receipt of Office Action under 37CFR1.97(c).	\$	\$180.00	\$180.00
	\$	\$	\$
	\$	\$	\$
	\$	\$	\$
TOTAL:			\$180.00

Name (print/type)	TRAVIS L. DODD	Registration No.: (Attorney/Agent)	42,491
Signature		Date	6-14-05

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB number.

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets necessary)		<b>COMPLETE IF KNOWN</b>	
		Application Number	10/649,044
		Filing Date	August 27, 2003
		First Named Inventor	Frederik Marcel Van Der Vliet
		Group Art Unit	2874
Examiner Name	Palmer, Phan T.		
Docket Number	LIGHT2620		
SHEET	1	LIGHT2620	1

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			
PH	1	5,710,847		Takano, et al.	January 20, 1998	
PH	2	6,374,001		Bozeat, et al.	April 16, 2002	
PH	3	6,608,945		Deiwala, Shrenik	August 19, 2003	
PH	4	US2002/0126939A1		House, et al.	September 12, 2002	
PH	5	US2002/0126976A1		Day	September 12, 2002	
PH	6	2003/0025070		Deiwala, Shrenik	February 6, 2003	
PH	7	2003/0031394		Deiwala, Shrenik	February 13, 2003	
PH	8	2003/0032286		Deiwala et al.	February 13, 2003	
PH	9	2003/0035265		Deluga, Ronald E.	February 20, 2003	
PH	10	2003/0036266		Deiwala, Shrenik	February 20, 2003	
PH	11	2003/0039430		Deiwala, Shrenik	February 27, 2003	
PH	12	2003/0039439		Deiwala, Shrenik	February 27, 2003	
PH	13	2003/0040134		Deiwala, Shrenik	February 27, 2003	
PH	14	2003/0040175		Deiwala, Shrenik	February 27, 2003	
PH	15	2003/0054639		Deiwala, Shrenik	March 20, 2003	
PH	16	2004/0129949		Deiwala et al.	July 8, 2004	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
PH	17	JP	08049897		Xerox Corporation.			
PH	18	PCT	WO 98/43128		The Secretary of State for Defence [GB/GB]	1 October 1998		

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>
PH	19	DAY, I.E., et al., <i>Solid State Absorption Attenuator in Silicon-on-Insulator with MHz Bandwidth</i> , integrated Photonics Research Conference, May 2002, pp 1-3.	
PH	20	KASAHARA, R. et al., <i>Low Power Consumption Silica-Based 2x2 Thermo-optic Switch Using Trenched Silicon Substrate</i> , IEEE Photonics Technology Letters, Vol. 11, No. 9, September 1999, pp. 1132-1134.	

Examiner Signature	Phan Palmer	Date Considered	08/10/2005
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two letter-code (WIPO Standard ST.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.